FORM PTO-144	•		Attorney Docket No.: A5	152D1/T39510	Application No.: Unassigned		
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Applicant: CANFENG LAI et al.				
·		•	Filing Date: Herewith	Group: Unassigned			
Reference Desig	nation		U.S. PATENT DOCUME	NTS		Page 1	
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date	
A WAA	3,291,715	12/13/66	G.S. Anderson	204	298	(II Appropriate	
AB	4,086,506	04/1978	Kustom et al.	310	74	· ·	
AC	4,277,306	07/1981	Ohkawa	176	9	1	
AD	4,431,898	02/1984	Reinberg et al.	219	121 .		
AE	4,585,986	04/1986	Dyer	323	271	7	
AF	4,666,734	05/1987	Kamiya et al.	427	39	1	
AG	4,713,208	12/1987	Furth et al.	376	137	1	
AH	4,859,399	08/1989	Bussard	376	133	1	
AI	4,863,671	09/1989	Okada	376	143	1/	
AJ	4,868,919	09/1989	Tanaka et al.	355	27	V -	
AK	5,014,321	05/1991	Klein	381	111	<u> </u>	
AL	5,041,760	08/1991	Koloc	315	111.41	//	
AM	5,174,875	12/1992	Hurwitt et al.	204	192.12	1.	
AN	5,290,382	03/01/94	Zarowin et al.	156	345	/	
AO	5,464,476	11/07/95	Gibb et al.	118	723 MP		
AP	5,505,780	04/1996	Dalvie et al.	118	723	1	
AQ	5,514,246	05/1996	Blalock	156	643.1		
AR	5,560,776	10/01/96	Sugai et al.	118	723 AN 3	1	
AS	5,591,493	01/07/97	Paranjpe et al.	427	569		
AT	5,619,103	04/08/97	Tobin et al.	315	111.21		
AU	5,632,869	05/1997	Hurwitt et al.	204	192.12		
AV	5,811,022	09/1998	Savas et al.	216	68	\$	
AW	5,939,886	08/1999	Turner et al.	324	464		
AX	5,998,933	12/07/99	Shun'ko	315	111.51		
AY	6,164,240	12/26/00	Nikulin	118	723 1 #		
AZ	6,392,351	05/02	Scun'ko	315	111.51		
BA	6,486,431	11/02	Smith et al.	219	121.57	1	
ВВ	6,432,260	08/02	Mahoney et al.	156	345.35		
₩_BC	09/246,036		Chandran et al.			02/1999	
V -		FOR	EIGN PATENT DOCUM	IENTS		,	

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FORM PTO-1449 (Modified)			Attorney Docket No.: A5152D1/T39510		Application No.: Unassigned	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Applicant: CANFENG LAI et al.				
		Filing Date: Herewith		Group: Unassigned		
MILIA						(Yes/No)
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	WO 90/10945	09/20/90	WIPO	H01J	27/16 :	yes
BE	WO 99/00823	01/07/99	WIPO	H01J	37/32	yes
BF	JP 2-260399	10/23/90	Japan	Н05Н	1/46	yes (abstract only)
BG	JP 5-166595	07/02/93	Japan	Н05Н	1/46	yes (abstract only)
₩_вн	JP 5-144594	06/11/93	Japan	Н05Н	1/46	yes (abstract only)

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FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR		Attorney Docket No.: A5152D1/T39510	Application No.: Unassigned			
APPLICANT'S	INFORMATION DISCLOSURE Use several sheets if necessary)	Applicant: CANFENG LAI et al.				
		Filing Date: Herewith	Group: Unassigned .			
ΔΛ ΙΔ# A	OTHER ART (Inclu	iding Author, Title, Date, Pertinent Pages, E	ctc.) :			
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	S.O. Dean et al., "Status and Object (1974) (available from the Superin	ctives of Tokamak Systems for Fusion Research tendent of Documents, U.S. Government Print	h," USERDA Report WASH-1295, ing Office) pp. i-106.			
BJ		Nuclear Fusion, 15, pp. 487-534 (1975).				
BK	H.U. Eckert, "Induction Plasmas a	t Low Frequencies," AIAA Journal, Vol. 9, No.	8, pp. 1452-1456 (August 1971).			
BL BL	Publication Data, pp. 59-61 and 17					
	E. Kändler et al., "Characterization Coatings Technology, 74-75, pp. 5	of Plasma in an Inductively Coupled High-De 39-545 (1995).	nse Plasma Source," Surface and			
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EXAMINER	XIXCACIO	DATE CONSIDERED 1/108/03				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

Complete if Known							
Application Number	10/769,225						
Filing Date	January 30, 2004						
First Named Inventor	Lai, Canfeng						
Art Unit	Not yet known						
Examiner Name	Not yet known						
Attorney Docket Number	A5152D1-T39510						

	U.S. PATENT DOCUMENTS+									
Exar	miner	Cite No.1	Document Number Number Kind Code ² (if known)	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
K	ZXM	A1	US-3,291,715	12-13-1966	G.S. Anderson					
		A2	US-4,086,506	04-1978	Kustom et al.					
		A3	US-4,277,306	07-1981	Ohkawa					
		A4	US-4,431,898	02-1984	Reinberg et al.					
		A5	US-4,585,986	04-1986	Dyer					
		A6	US-4,666,734	05-1987	Kamiya et al.					
		A7	US-4,713,208	12-1987	Furth et al.	/				
		A8	US-4,859,399	08-1989	Bussard	. \				
		A9	US-4,863,671	09-1989	· Okada	\				
		A10	US-4,868,919	09-1989	Tanaka et al.	\ / .				
		A11	US-5,014,321	05-1991	Klein					
П		A12	US-5,041,760	08-1991	Koloc	\ ; /				
		A13	US-5,174,875	12-1992	Hurwitt et al.	\ ./				
П		A14	US-5,290,382	03-01-1994	Zarowin et al.					
\Box		A15	US-5,464,476	11-07-1995	Gibb et al.	/				
П		A16	US-5,505,780	04-1996	Dalvie et al.	₹ \/				
		A17	US-5,514,246	05-1996	Blalock					
\prod		A18	US-5,560,776	10-01-1996	Sugai et al.	*//				
\Box		A19	US-5,591,493	01-07-1997	Paranjpe et al.					
		A20	US-5,619,103	04-08-1997	Tobin et al.	;/				
	·	A21	US-5,632,869	05-1997	Hurwitt et al.	1				
		A22	US-5,811,022	09-1998	Savas et al.					
		A23	US-5,939,886	08-1999	Turner et al.					
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		A26	US-6,374,831	04-23-2002	Chandran et al.	,				
		A27	US-6,392,351 B1	05-2002	Shun'ko					
		A28	US-6,432,260 B1	08-2002	Mahoney et al.					
	V	A29	US-6,486,431 B1	11-2002	Smith et al.					
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Examiner Cite		Foreign Patent Document			Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Initials* No.1	Country Code ³	Number ⁴ Kind Code ⁴ (If known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	To	
JAKAN	B1	PCT	WO 90/10945	09-20-1990			
	B2	PCT	WO 99/00823	01-07-1999			
	В3	JP.	2-260399	10-23-1990			
	B4	JP	5-166595	07-02-1993			
V	B5	μP	5-144594	06-11-1993			

Examiner Signature Date Considered

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Substitute	for form 1449B/PTC)		Complete if Known			
INITO	DELATION	DIC	CL OCUPE	Application Number	10/769,225		
•			CLOSURE	Filing Date	January 30, 2004		
STAT	EMENTR	YA	PPLICANT	First Named Inventor	Lai, Canfeng		
				Art Unit	Not yet known		
(1	ise as many she	eets as	necessary)	Examiner Name	Not yet known		
Sheet	2	of	2	Attorney Docket Number	A5152D1-T39510		

	NON PATENT LITERATURE DOCUMENTS	
Cite No.1	(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т
C1	Dean, S.O. et al., "Status and Objectives of Tokamak Systems for Fusion Research," USERDA Report WASH-1295, (1974) (available from the Superintendent of Documents, U.S. Government Printing Office) pp. i-106.	
C2	Furth, H.P. "Tokamak Research," Nuclear Fusion, 15, pp. 487-534 (1975).	
СЗ	Eckert, H.U. "Induction Plasmas at Low Frequencies," AIAA Journal, Vol. 9, No. 8, pp. 1452-1456 (August 1971).	
C4	Hagler, M.O. et al. "An Introduction to Controlled Thermonuclear Fusion," Library of Congress Cataloging in Publication Data, pp. 59-61 and 178 (1977).	
C5	Kändler, E. et al. "Characterization of Plasma in an Inductively Coupled High-Dense Plasma Source," Surface and Coatings Technology, 74-75, pp. 539-545 (1995).	
	No.1 C1 C2 C3 C4	No. Dean, S.O. et al., "Status and Objectives of Tokamak Systems for Fusion Research," <i>USERDA Report WASH-1295</i> , (1974) (available from the Superintendent of Documents, U.S. Government Printing Office) pp. i-106. C2 Furth, H.P. "Tokamak Research," <i>Nuclear Fusion</i> , 15, pp. 487-534 (1975). C3 Eckert, H.U. "Induction Plasmas at Low Frequencies," <i>AIAA Journal</i> , Vol. 9, No. 8, pp. 1452-1456 (August 1971). C4 Hagler, M.O. et al. "An Introduction to Controlled Thermonuclear Fusion," <i>Library of Congress Cataloging in Publication Data</i> , pp. 59-61 and 178 (1977). Kändler, E. et al. "Characterization of Plasma in an Inductively Coupled High-Dense Plasma Source,"

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Examiner Signature	gran	Date Considered	08	105

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